

Office of the City Controller
Audit Division Project Status
June 30, 2020

Report/Project on 2020 Audit Plan	Source of Proposed Engagement	FY2020 Actual	Report/Project	Type of Audit	Completion Status
1	FY2020 Audit Plan	✓	City-Wide Take Home Vehicles	Performance / Compliance	Planning Phase
2	FY2020 Audit Plan	✓	FIN - Procurement Process	Performance / Compliance	Fieldwork Phase
3	FY2020 Audit Plan	✓	GSD Comfort Systems USA	Performance / Contract Compliance	Fieldwork Phase
4	FY2020 Audit Plan	✓	HCDD - Homeowner Assistance Program	Performance / Compliance	Planning Phase
5	FY2020 Audit Plan	✓	HAS - IAH Terminal Redevelopment Program	Performance / Contract Compliance	Reporting Phase
6	FY2020 Audit Plan	✓	HPD Asset Forfeiture	Performance / Compliance	Reporting Phase
7	FY2020 Audit Plan		HPD Auto Dealers Detail	Performance / Compliance	Work has not begun
8	FY2020 Audit Plan	✓	HPW - Permitting	Performance / Compliance	Planning Phase
Carryover from FY2019					
9	FY2018 Audit Plan	✓	Special Revenue Funds	Performance / Process Review	HPW report issued as 2020-04; FIN report issued as 2020-03
10	FY2019 Audit Plan	✓	HFD - Arson Bureau	Performance / Compliance	Report issued as 2020-05
11	FY2019 Audit Plan	✓	Houston First Corporation	Performance / Contract Compliance	Report being issued as 2020-06
12	FY2017 Audit Plan	✓	HPL Cash Handling	Performance / Compliance	Report issued as 2020-01
Alternative/ Optional					
13			ARA - Payroll Application Security Controls Review	Performance / Compliance	Open
14			HASDC - Process Review	Process Review	Open
15			HPD - Property/Evidence Room	Performance / Compliance	Open
16			OBO - Certification	Performance / Compliance	Open
17			PWE - Automation Nation	Performance / Contract Compliance	Open
Recurring & Special Projects					
18			Citizen Centric Reporting (CCR) Update	Annual Reporting	Open
19	Audit Plan		City-Wide Harvey Recovery		Open
20	Audit Plan / Required	✓	FY 2020 Enterprise Risk Assessment Update	Required	Report issued as 2020-09
21	Audit Plan / Required	✓	Follow-up Audits	Performance / Required	FIN - Reporting Phase, HPARD - report issued as 2020-08, HPD - report issued as 2020-07, HPW Reporting phase
22	Audit Plan / Required	✓	Fraud Consideration/Reporting/Hotline	Required	Ongoing
23	Audit Plan / Required	✓	Quality Assurance	Required	Ongoing